

Seeing double

(<https://www.mscs.dal.ca/~pare/FMCS2.pdf>)

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FMCS Tutorial
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June 1, 2018

Before we start

Double functors

$$\text{Slice}(\mathbf{A}) \longrightarrow \text{Slice}(\mathbf{B})$$

are in bijection with natural transformations

$$\begin{array}{ccc} & F & \\ \mathbf{A} & \begin{array}{c} \curvearrowright \\ \Downarrow t \\ \curvearrowleft \end{array} & \mathbf{B} \\ & G & \end{array}$$

The associated double functor is given (on the objects) by

$$\begin{array}{ccc} A & & FA \\ f \downarrow & \longmapsto & \downarrow Ff \\ A' & & FA' \\ & & \downarrow tA' \\ & & GA' \end{array}$$

If you want something done right
you have to do it yourself.
And, you have to do it right.

Micah McCurdy

The plan

- The theory of restriction categories is a nice, simply axiomatized theory of partial morphisms
- It is well motivated with many examples and has lots of nice results
- But it is somewhat tangential to mainstream category theory
- The plan is to bring it back into the fold by taking a double category perspective
- Every restriction category has a canonically associated double category
- What can double categories tell us about restriction categories?
- What can restriction categories tell us about double categories?
- References
 - R. Cockett, S. Lack, Restriction Categories I: Categories of Partial Maps, Theoretical Computer Science 270 (2002) 223-259
 - R. Cockett, Introduction to Restriction Categories, Estonia Slides (2010)
 - D. DeWolf, Restriction Category Perspectives of Partial Computation and Geometry, Thesis, Dalhousie University, 2017

Restriction categories

Definition

A *restriction category* is a category equipped with a *restriction operator*

$$A \xrightarrow{f} B \rightsquigarrow A \xrightarrow{\bar{f}} A$$

satisfying

$$\text{R1. } f\bar{f} = f$$

$$\text{R2. } \bar{f}\bar{g} = \bar{g}\bar{f}$$

$$\text{R3. } \overline{gf} = \bar{g}\bar{f}$$

$$\text{R4. } \bar{g}f = f\overline{gf}$$

Example

Let \mathbf{A} be a category and \mathbf{M} a subcategory such that

- (1) $m \in \mathbf{M} \Rightarrow m$ monic
- (2) \mathbf{M} contains all isomorphisms
- (3) \mathbf{M} stable under pullback: for every $m \in \mathbf{M}$ and $f \in \mathbf{A}$ as below, the pullback of m along f exists and is in \mathbf{M}

$$\begin{array}{ccc} P & \xrightarrow{\bar{f}} & B \\ m' \downarrow & \lrcorner & \downarrow m \\ C & \xrightarrow{f} & A \end{array}$$

$$m \in M \Rightarrow m' \in M$$

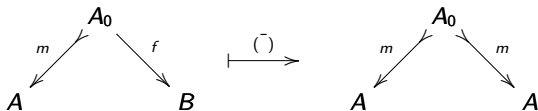
$\text{Par}_{\mathbf{M}}\mathbf{A}$ has the same objects as \mathbf{A} but the morphisms are isomorphism classes of spans

$$\begin{array}{ccc} & A_0 & \\ m \swarrow & & \searrow f \\ A & & B \end{array}$$

with $m \in M$

Composition is by pullback

The restriction operator is $\overline{(m, f)} = (m, m)$



The double category

Let \mathbf{A} be a restriction category

Definition

$f : A \rightarrow B$ is *total* if $\bar{f} = 1_A$

Proposition

The total morphisms form a subcategory of \mathbf{A}

The double category $\mathbb{D}c(\mathbf{A})$ associated to a restriction category \mathbf{A} has

- The same objects as \mathbf{A}
- Total maps as horizontal morphisms
- All maps as vertical morphisms

- There is a unique cell

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow v & \Rightarrow & \downarrow m \\ C & \xrightarrow{g} & D \end{array}$$

if and only if $gv = wf\bar{v}$

Theorem

$\mathbb{D}c(\mathbf{A})$ is a double category

Remark

C & L define an order relation between $f, g : A \rightarrow B$, $f \leq g \Leftrightarrow f = g\bar{f}$

Makes \mathbf{A} into a 2-category. They say "seems to be less useful than one might expect"

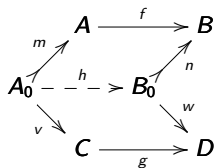
There is a cell

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow v & \Rightarrow & \downarrow w \\ C & \xrightarrow{g} & D \end{array}$$

if and only if $gv \leq wf$. So our $\mathbb{D}c(\mathbf{A})$ is not far from that 2-category. Perhaps it will turn out to be more useful than they might expect!

Example

In $\mathbb{D}c\text{Par}_M(\mathbf{A})$ there is a cell if and only if there exists a (necessarily unique) morphism h



Companions

Proposition

In $\mathbb{D}c(\mathbf{A})$ every horizontal arrow has a companion, $f_* = f$

Proof.

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ f \downarrow & \Rightarrow & \downarrow 1 \\ B & \xrightarrow{1} & B \end{array} \quad 1 \cdot f = 1 \cdot f \cdot \bar{f}$$

$$\begin{array}{ccc} A & \xrightarrow{1} & A \\ 1 \downarrow & \Rightarrow & \downarrow f \\ A & \xrightarrow{f} & B \end{array} \quad f \cdot 1 = f \cdot 1 \cdot \bar{1}$$



Conjoints

Proposition

In $\mathbb{D}cPar_{\mathbf{M}}(\mathbf{A})$, f has a conjoint if and only if $f \in \mathbf{M}$

Proof.

Assume f has conjoint (m, g) , then there are α, β

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \parallel & & \uparrow m \\ A & \xrightarrow{\alpha} & B_0 \\ \parallel & & \downarrow g \\ A & \xlongequal{\quad} & A \end{array} \quad \text{and} \quad \begin{array}{ccc} B & \xlongequal{\quad} & B \\ \uparrow m & & \parallel \\ B_0 & \xrightarrow{\beta} & B \\ \downarrow g & & \parallel \\ A & \xrightarrow{f} & B \end{array}$$

So $m\alpha g = fg = \beta = m$ which implies $\alpha g = 1$

Thus α is an isomorphism and $f = m\alpha \in \mathbf{M}$



- If we suspect that \mathbb{A} is of the form $\mathbb{D}c\text{Par}_{\mathbf{M}}(\mathbf{A})$ we can recover \mathbf{M} as those horizontal arrows having a conjoint
- Is the requirement of stability under pullback of conjoints a good double category notion?
- In $\mathbb{D}c(\mathbf{A})$, a horizontal arrow $f : A \rightarrow B$ always has a companion f_* , and if it also has a conjoint f^* then $f_* \dashv f^*$ so

$$f_* \bullet f^* \quad \begin{array}{c} A \\ \downarrow \\ \bullet \\ \downarrow \\ A \end{array}$$

is a comonad, i.e. an idempotent $\leq \text{id}_A$

Proposition

In $\mathbb{D}c(\mathbf{A})$, $f_* \bullet f^* = \bar{f}^*$

Tabulators

Proposition

$\mathbb{D}c\text{Par}_{\mathbf{M}}(\mathbf{A})$ has tabulators and they are effective

Proof.

Given $(m, v) : A \multimap B$, the tabulator is

$$\begin{array}{ccc} A_0 & \xrightarrow{m} & A \\ \parallel & & \uparrow m \\ A_0 & \xlongequal{\quad} & A_0 \\ \parallel & & \downarrow v \\ A_0 & \xrightarrow{v} & B \end{array}$$



Conjecture: In a general $\mathbb{D}c(\mathbf{A})$, $v : A \multimap B$ has a tabulator if and only if \bar{v} splits

Classification of vertical arrows

- The original definition of elementary topos was in terms of a partial map classifier

$$\frac{B \dashrightarrow A}{B \dashrightarrow \tilde{A}}$$

- In a topos, relations are classifiable

$$\frac{B \dashrightarrow A}{B \dashrightarrow \Omega^A}$$

- For profunctors

$$\frac{\mathbf{B} \dashrightarrow \mathbf{A}}{\mathbf{B} \dashrightarrow (\mathbf{Set}^{\mathbf{A}})^{op}}$$

provided \mathbf{A} is small

- How do we formalize this in a general double category?

Classification (Beta version)

- The desired bijection

$$\frac{B \overset{v}{\dashrightarrow} A}{B \overset{\hat{v}}{\dashrightarrow} \tilde{A}}$$

gives $eA : \tilde{A} \dashrightarrow A$ and $hA : A \dashrightarrow \tilde{A}$

- We express our definition in terms of eA

Definition

Let \mathbb{A} be a double category and A an object of \mathbb{A} . We say that A is *classifying* if we are given an object \tilde{A} and a vertical morphism $eA : \tilde{A} \dashrightarrow A$ with the following universal properties:

(1) For every vertical arrow $v : B \rightarrow A$ there exist a horizontal arrow $\widehat{v} : B \rightarrow \widetilde{A}$ and a cell

$$\begin{array}{ccc}
 B & \xrightarrow{\widehat{v}} & \widetilde{A} \\
 \searrow v & \epsilon v & \swarrow eA \\
 & A &
 \end{array}$$

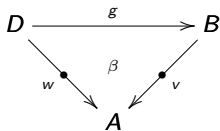
such that for every cell α

$$\begin{array}{ccccc}
 D & \xrightarrow{g} & B & \xrightarrow{\widehat{v}} & \widetilde{A} \\
 \searrow w & & & \alpha & \swarrow eA \\
 & & C & \xrightarrow{f} & A
 \end{array}$$

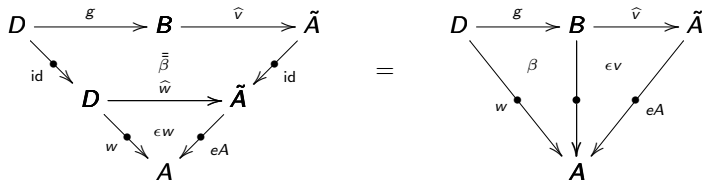
there exists a unique cell $\bar{\alpha}$ such that

$$\begin{array}{ccccc}
 D & \xrightarrow{g} & B & \xrightarrow{\widehat{v}} & \widetilde{A} \\
 \searrow w & & & \bar{\alpha} & \swarrow eA \\
 & & C & \xrightarrow{f} & A
 \end{array}
 \quad = \quad
 \begin{array}{ccccc}
 D & \xrightarrow{g} & B & \xrightarrow{\widehat{v}} & \widetilde{A} \\
 \searrow w & & & \alpha & \swarrow eA \\
 & & C & \xrightarrow{f} & A
 \end{array}$$

(2) For every cell



there exists a unique cell $\bar{\beta}$ such that



Complete classification

- How do we understand this?
- Take a more global approach

Assume \mathbb{A} is companionable, i.e. every horizontal arrow f has a companion f_* .
Then we get a (pseudo) double functor

$$(\)_* : \mathbb{Q} \text{Hor} \mathbb{A} \longrightarrow \mathbb{A}$$

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ h \downarrow & \searrow \alpha & \downarrow k \\ C & \xrightarrow{g} & D \end{array} \quad \mapsto \quad \begin{array}{ccc} A & \xrightarrow{f} & B \\ h_* \downarrow & \searrow \alpha_* & \downarrow k_* \\ C & \xrightarrow{g} & D \end{array}$$

Exercise!

Definition

Say that \mathbb{A} is *classifying* if $(\)_*$ has a *down adjoint* $(\)^{\sim}$,
i.e. a right adjoint in the vertical direction

Bijections

The adjunction can be formalized in terms of bijections

$$\begin{array}{c} B \\ \downarrow \bullet \\ v \downarrow \\ A \end{array} \quad \Bigg| \quad B \xrightarrow{\hat{v}} \tilde{A}$$

More precisely, for $v : B \dashrightarrow A$ there exists a $\hat{v} : B \rightarrow \tilde{A}$ and an isomorphism

$$\begin{array}{ccc} B & \xlongequal{\quad} & B \\ \downarrow \bullet & & \downarrow \\ (\hat{v})_* \downarrow & & \bullet \\ \tilde{A} & \cong & v \\ \downarrow \bullet & & \downarrow \\ eA \downarrow & & \\ A & \xlongequal{\quad} & A \end{array}$$

This can be expressed without mention of $(\)_*$ because we have a bijection

Bijections (cont.)

$$\begin{array}{ccc}
 D & \xrightarrow{g} & B \\
 \downarrow w & \Rightarrow & \downarrow (\hat{v})_* \\
 \bullet & & \tilde{A} \\
 \downarrow & & \downarrow eA \\
 C & \xrightarrow{f} & A
 \end{array}
 \quad \Bigg|$$

$$\begin{array}{ccccc}
 D & \xrightarrow{g} & B & \xrightarrow{\hat{v}} & \tilde{A} \\
 \searrow w & & & \Rightarrow & \swarrow eA \\
 & & C & \xrightarrow{f} & A
 \end{array}$$

Yonedaification now yields the single-object definition

- Given a monad (T, η, μ) on \mathbf{A} we get a double category $\mathbb{Kl}(T)$
 - Objects are those of \mathbf{A}
 - Horizontal arrows are morphisms of \mathbf{A}
 - Vertical arrows are Kleisli morphisms i.e.

$$\begin{array}{c} A \\ \downarrow v \\ \bullet \\ \downarrow \\ B \end{array} \quad \text{is} \quad A \xrightarrow{\widehat{v}} TB \quad \text{in} \quad \mathbf{A}$$

- Cells

$$\begin{array}{ccc} A & \xrightarrow{f} & A' \\ \downarrow v & \Rightarrow & \downarrow v' \\ B & \xrightarrow{g} & B' \end{array} \quad \text{a unique one if} \quad \begin{array}{ccc} A & \xrightarrow{f} & A' \\ \downarrow \widehat{v} & & \downarrow \widehat{v}' \\ TB & \xrightarrow{Tg} & TB' \end{array} \quad \text{commutes}$$

Kleisli (cont.)

- $\mathbb{Kl}(T)$ is companionable

For $f : B \rightarrow A$,

$$\begin{array}{c} B \\ \downarrow \\ \bullet \\ \downarrow \\ A \end{array} \quad \text{is given by} \quad \begin{array}{c} B \\ \downarrow f \\ A \\ \downarrow \eta^A \\ TA \end{array} \quad \text{i.e.} \quad f_* = \widehat{(\eta^A \cdot f)}$$

- $\mathbb{Kl}(T)$ is classifiable

$$\begin{array}{c} B \\ \downarrow \\ \bullet \\ \downarrow \\ A \end{array} \quad \Bigg| \quad B \xrightarrow{\widehat{\nu}} TA$$

- $e^A : TA \rightarrow A$ is $\widehat{\text{id}_{TA}}$
- $h^A : A \rightarrow TA$ is η^A

- Double functors $\mathbb{K}l(T) \longrightarrow \mathbb{K}l(S)$ correspond to monad morphisms (F, ϕ)

$$\mathbf{A} \xrightarrow{F} \mathbf{B}$$

$$\phi : FT \longrightarrow SF$$

such that ...

- Horizontal transformations correspond to the 2-cells in Street's 1972 JPAA paper, *Formal theory of monads*
- Vertical transformations correspond to the 2-cells in Lack & Street's 2002 paper, *Formal theory of monads II*

Restriction functors

- A *restriction functor* $F : \mathbf{A} \rightarrow \mathbf{B}$ is a functor that preserves the restriction operator, $F(\bar{f}) = \overline{F(f)}$

Proposition

A restriction functor F gives a double functor $\mathbb{D}_c(F) : \mathbb{D}_c(\mathbf{A}) \rightarrow \mathbb{D}_c(\mathbf{B})$

Question: Is every double functor $F : \mathbb{D}_c(\mathbf{A}) \rightarrow \mathbb{D}_c(\mathbf{B})$ of this form? F is determined by a unique functor $\mathbf{A} \rightarrow \mathbf{B}$ which preserves the order and totality. Does this mean it preserves restriction? Probably not. Does \mathbb{D}_c at least reflect isos?

Theorem

A double functor $\mathbb{D}_c \text{Par}_M \mathbf{A} \rightarrow \mathbb{D}_c \text{Par}_N \mathbf{B}$ comes from a unique functor $F : \mathbf{A} \rightarrow \mathbf{B}$ which restricts to $\mathbf{M} \rightarrow \mathbf{N}$ and preserves pullbacks of $m \in M$ by arbitrary $f \in \mathbf{A}$. Thus it does come from a restriction functor

Transformations

Recall that a horizontal transformation $t : F \rightarrow G$ between double functors $\mathbb{A} \rightarrow \mathbb{B}$ consists of assignments:

- (1) For every A in \mathbb{A} a horizontal morphism $tA : FA \rightarrow GA$
- (2) For every vertical morphism $v : A \rightarrow \bar{A}$ a cell

$$\begin{array}{ccc} FA & \xrightarrow{tA} & GA \\ \downarrow Fv & \bullet & \downarrow Gv \\ & tv & \\ G\bar{A} & \xrightarrow{t\bar{A}} & G\bar{A} \end{array}$$

satisfying

- (3) Horizontal naturality (for horizontal arrows and cells)
- (4) Vertical functoriality (for identities and composition)

Let $F, G : \mathbf{A} \rightarrow \mathbf{B}$ be restriction functors. Then a horizontal transformation

$$t : \mathbb{D}c(F) \rightarrow \mathbb{D}c(G)$$

(1) assigns to each A in \mathbf{A} a total morphism

$$tA : FA \rightarrow GA$$

(2) such that for every $f : A \rightarrow \bullet \rightarrow \bar{A}$ in \mathbf{A} we have

$$\begin{array}{ccc}
 FA & \xrightarrow{tA} & GA \\
 \downarrow Ff & \leq & \downarrow Gf \\
 F\bar{A} & \xrightarrow{t\bar{A}} & G\bar{A}
 \end{array}$$

(3) and t is natural for horizontal arrows (i.e. for f total, we have equality in (2))

This is what C & L call a lax restriction transformation

Proposition

Let $\mathbf{M} \subseteq \mathbf{A}$ and $\mathbf{N} \subseteq \mathbf{B}$ be stable systems of monics and $F, G : \mathbf{A} \rightarrow \mathbf{B}$ functors that preserve the given monics and their pullbacks

Then horizontal transformations $\mathbb{D}c(F) \rightarrow \mathbb{D}c(G)$ correspond to arbitrary natural transformations $F \rightarrow G$

Restriction transformations correspond to cartesian ones

There is a notion of commuter cell in double categories, and requiring the cells in (2) to be commuter cells makes them equalities

Vertical transformations

A vertical transformation $\phi : \mathbb{D}_c(F) \longrightarrow \mathbb{D}_c(G)$

(1) assigns to each object A of \mathbf{A} an arbitrary morphism of B

$$t_A : FA \longrightarrow GA$$

(2) will be automatic

(3) is natural with respect to all morphisms

(4) is vacuous

Question: Is this any good?

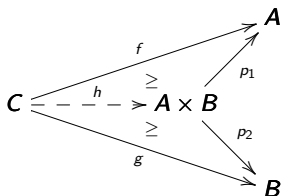
There are other notions of vertical transformation, e.g. the *modules* of

- "Yoneda Theory for Double Categories", Theory and Applications of Categories, Vol. 25, No. 17, 2011, pp. 436-489
which generalize to double categories the modules of
- Cockett, J.R.B., Koslowski, J., Seely, R.A.G., Wood, R.J., Modules, Theory Appl. Categ. 11 (2003), No. 17, pp. 375-396

Project: Investigate the significance of lax (oplax) double functors and modules for restriction categories

Cartesian restriction categories

A restriction category \mathbf{A} is *cartesian* if for every pair of objects A, B there is an object $A \times B$ and morphisms $p_1 : A \times B \rightarrow A, p_2 : A \times B \rightarrow B$ with the following universal property



For every f, g there exists a unique h such that

$$p_1 h = f \bar{g}$$

$$p_2 h = g \bar{f}$$

There is also a terminal object condition

Double products

Recall that \mathbb{A} has binary products if

- (1) for every A, B there is an object $A \times B$ and horizontal arrows $p_1 : A \times B \rightarrow A$, $p_2 : A \times B \rightarrow B$ which have the usual universal property with respect to horizontal arrows
- (2) for every pair of vertical arrows $v : A \rightarrow C$ and $w : B \rightarrow D$ there is a vertical arrow $v \times w : A \times B \rightarrow C \times D$ and cells

$$\begin{array}{ccc} A \times B & \xrightarrow{p_1} & A \\ \downarrow v \times w & \pi_1 & \downarrow v \\ C \times D & \xrightarrow{q_2} & C \end{array}$$

$$\begin{array}{ccc} A \times B & \xrightarrow{p_2} & B \\ \downarrow v \times w & \pi_2 & \downarrow w \\ C \times D & \xrightarrow{q_2} & D \end{array}$$

with the usual universal property with respect to cells

Proposition

\mathbf{A} is a cartesian restriction category if and only if $\mathbb{D}c(\mathbf{A})$ has finite double products

Proof*

- (1) Suppose \mathbf{A} is a cartesian restriction category. The universal property of product is the usual one when restricted to total maps

Given vertical arrows $v : A \rightarrow C$, $w : B \rightarrow D$ we get a unique $v \times w$

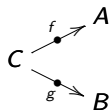
$$\begin{array}{ccccc}
 A & \xleftarrow{p_1} & A \times B & \xrightarrow{p_2} & B \\
 \downarrow v & \geq & \downarrow v \times w & \leq & \downarrow w \\
 C & \xleftarrow{q_1} & C \times D & \xrightarrow{q_2} & D
 \end{array}$$

and

$$\begin{array}{ccc}
 \begin{array}{ccc}
 X & \xrightarrow{f} & A \\
 \downarrow z & \leq & \downarrow v \\
 Y & \xrightarrow{g} & C
 \end{array} & \& & \begin{array}{ccc}
 X & \xrightarrow{h} & B \\
 \downarrow z & \leq & \downarrow w \\
 Y & \xrightarrow{k} & D
 \end{array} & \Leftrightarrow & \begin{array}{ccc}
 X & \xrightarrow{(f,h)} & A \times B \\
 \downarrow z & \leq & \downarrow v \times w \\
 Y & \xrightarrow{(g,k)} & C \times D
 \end{array}
 \end{array}$$

so $\mathbb{D}c(\mathbf{A})$ has binary double products

- (2) Suppose $\mathbb{D}c(\mathbf{A})$ has finite double products
 Given



we have $h = C \xrightarrow{\Delta_*} C \times C \xrightarrow{f \times g} A \times B$

and cells

$$\begin{array}{ccc}
 C & \xrightarrow{1_C} & C \\
 \Delta_* \downarrow & \leq & \downarrow \text{id} \\
 C \times C & \xrightarrow{q} & C \\
 f \times g \downarrow & \leq & \downarrow f \\
 A \times B & \xrightarrow{p_1} & A
 \end{array}$$

so $p_1 h = \overline{f(f \times g \bullet \Delta_*)} = f \bar{g}$

*Warning: Some details may not have been checked

Homework

